

## Selected Mathematical Models in Hot Air Drying of Foods

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### Abstract

Food processing and preservation are major areas of interest for the food industry. Agricultural products having high amounts of water are highly perishable. Therefore, preservation methods are used to extend their shelf-lives. Drying is one of the oldest food preservation techniques. Among wide range of drying methods, hot air drying is one of the most frequently used drying methods for foods contain high amounts of water. Mathematical models for thin layer drying are useful in explaining heat and mass transfer mechanism and drying rate as well as predicting effective diffusion coefficient and activation energy of the drying process. The aim of the present study is to give fundamental information on both heat and mass transfer mechanisms in hot air drying of foods and selected mathematical models by deriving equations for predicting drying kinetics. It is expected that the present study provides basic background knowledge on hot air drying studies for the researchers.

*Keywords: Drying, Heat, Mass, Diffusivity, Moisture Content, Model*

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### 1. Introduction

Due to rapid growing world population, global warming and climate change, future projection of the limited food sources has been a great interest for the countries around the world. Many underdeveloped countries are in need of clean water and food supply whereas many others nearly face aridness and desertification. These facts bring researchers and governments to pay close attention and take proper actions especially on agricultural productions for future. High quality future foods are demanded and expected to be more nutritious, safe, natural, organic, functional, shelf stable as well as tasty. All these expectations could be matched to a certain degree with the benefits of food processing. Agricultural products are essential in human nutrition providing

bioactive components, water, carbohydrates, proteins, fat/oils, vitamins and minerals necessary for metabolic activities. Because of their highly perishable nature, they need to be preserved by using either thermal or non-thermal processing techniques such as drying, canning, freezing, cooling, smoking, salting, acidifying, microwaving, ohmic heating, dielectric heating, radio frequency processing etc.

Proper preservation technique is expected to lower water activity to a critical level where microbial, chemical and enzymatic control of food is possible meanwhile maintaining mechanical, textural, nutritional and physical properties of food (Arslan and Özcan, 2011). Among those techniques, drying is one of the oldest methods of food preservation (Orikasa et.al., 2008). There has been a growing interest in new and improved drying techniques over

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the past years. Although recent studies involve non-thermal drying methods as well as hybrid drying techniques, food industry still relies mainly on hot air drying. Hot air drying is a process where water removal from the food material is provided by the pre-heated air flow having constant velocity and relative humidity.

Drying process provides extended shelf life by lowering the water content and water activity of food being dried (Zhou et.al., 2019). Wide range of agricultural products are preserved by drying but dried products display altered organoleptic and nutritional properties as compared to their fresh counterparts. Moisture content of dried food is below its critical value where enzymatic, chemical and biochemical reactions are limited. Convective hot air drying is one of the most frequently used drying methods for food drying operations both in lab and industrial scale. Hot air drying process helps reduce product volume, facilitates handling and transportation, provides microbial, enzymatic and chemical stabilization of dried food, lowers storage expenses and offers a variety of processed foods to consumer (Zielinska and Markowski, 2010). Hot air drying also has some drawbacks such as longer drying time and undesired changes of food due to its heat sensitivity (Darvishi et.al., 2014). Studies on hot air drying of foodstuffs concentrate on the optimization of drying parameters, namely drying temperature, time, air velocity, air and food relative humidity, shrinkage, thickness, evaporation surface area and moisture content of food and type of drying equipment. All of these factors in return influence the rate of drying (Cruz et.al., 2015; Guine, 2018).

The aim of the present study is to give fundamental information on both heat and mass transfer mechanisms in hot air drying of foods and selected mathematical models by deriving equations for predicting drying kinetics. It is expected that the present study provides basic background knowledge on hot air drying studies for researchers.

## **2. Mechanisms of Heat and Mass Transfer**

The purpose of drying is the water removal from the food. Drying involves simultaneous heat and mass transfer for water removal. During hot air

drying, two simultaneous process occurs namely heat transfer from the heated drying air to the food surface and moisture (mass) transfer from the surface of the solid food to the hot drying air. Driving forces for mass transfer are capillary diffusion, concentration difference and pressure difference between food and hot drying air whereas driving forces of heat transfer are temperature difference and thermal conductivity of the drying food.

Drying behavior of food material is affected by internal (density, permeability, porosity, sorption characteristics, thermophysical properties of food) and external (temperature, velocity and relative humidity of heated drying air) factors (Kaya et.al., 2009). Knowledge on heat and mass transfer phenomena during hot air drying of foods greatly helps to reduce operational costs and preserve the quality of dried food via drying parameter optimisation studies.

Theoretical and experimental studies on heat and mass transfer phenomena during hot air drying of foods has been a major research area over the past decades. Diffusion theory, the capillary flow theory and evaporation-condensation theory are widely used to explain the physical phenomena of the hot air drying process in porous materials (Prommas, 2011).

## **3. Mathematical Models for hot air drying**

From the food engineering point of view, mathematical models are used as effective tools for describing drying mechanisms for process optimisation and analysis of food drying for wide range of foods. Fundamental understanding of drying characteristics is generally build on thin layer drying concept and models are theoretical, semi-theoretical or purely empirical nature (Mwithiga & Olwal, 2005). Thin layer drying is based on placing drying food onto drier trays as thin layered as possible to ease water removal from the surface of the food during drying. Most of the studies from literature use semi-theoretical models with a certain degree of success depending on the type of food and conditions of drying. Advantage of using of semi-theoretical models is in their less complicated solutions as compared to the theoretical models (Doungporn et.al., 2012). Most commonly used semi-theoretical

thin layer drying models from the selected literature are given in Table 1.

Table 1. Semi-theoretical thin layer drying model equations

Name of model	Model equation MR=	Reference
Lewis Newton	$\exp(-kt)$	Artnaseaw et.al., 2010; Mwithiga&Olwal, 2005
Page	$\exp(-kt^n)$	Artnaseaw et.al., 2010
Modified Page	$\exp(-(kt)^n)$ $\exp[-c(t/L^2)^n]$	Özdemir&Devres, 1999; Mwithiga &Olwal, 2005 Diamante&Munro, 1991
Henderson and Habis	$a \exp(-kt)$	Henderson&Habis, 1961
Modified Henderson and Habis	$a \exp(-kt) + b \exp(-gt) + c \exp(-ht)$	Karathanos, 1999
Logarithmic	$a \exp(-kt) + c$	Yaldız et.al., 2001
Two-term	$a \exp(-k_0t) + b \exp(-k_1t)$	Artnaseaw et.al., 2010
Two-term exponential	$a \exp(-kt) + (1-a) \exp(-kat)$	Sharaf-Eldeen et.al., 1980
Midilli and Kucuk	$a \exp(-kt^n) + bt$	Midilli et.al, 2002
Approximation of diffusion	$a \exp(-kt) + (1-a) \exp(-kbt)$	Demir et.al., 2007
Wang and Singh	$1 + at + bt^2$	Wang&Singh, 1978
Simplified Fick's diffusion	$a \exp[-c(t/L^2)]$	Diamante&Munro, 1991;
Thomson	$t=a \ln(MR) + b(\ln(MR))^2$	Paulsen &Thomson, 1973
Verma et.al.	$a \exp(-kt) + (1-a) \exp(-gt)$	Verma et.al., 1985

a,b,c,n and k are model constants.

Most of the studies on mathematical modelling of hot air drying focus on the semi-theoretical thin layer drying. Experimental data fits onto the selected model equations to find the best fit under constant drying conditions. Moisture ratio of samples during drying is calculated as (Guine et.al., 2011; Mota et.al., 2010):

$$MR = \frac{M_t - M_e}{M_0 - M_e} \quad 1$$

where M is the moisture content of the food (kg water/ kg DM), subscripts t, 0 and e denotes time t, initial and equilibrium respectively.  $M_e$  refers to the equilibrium of moisture content with surrounding medium namely drying air for a long period of drying time. For most drying operations  $M_e$  is relatively small as compared to  $M_t$  and  $M_0$  and therefore can be ignored for long period of drying time. Then, Equation 1 becomes:

$$MR = \frac{M_t}{M_0} \quad 2$$

Considering diffusion is, as in most case, the main mechanism for the mass transfer from the drying food surface, solution of Fick's second law of diffusion equation for a slab with no shrinkage can be given as (Singh and Pandey, 2012):

$$MR = \frac{M_t - M_e}{M_0 - M_e} = \sum_{n=1}^{\infty} \left( \frac{8}{(2n-1)\pi^2} \exp \left( \frac{-D_{eff}(2n-1)^2\pi^2}{4(L)^2} t \right) \right) \quad 3$$

where  $D_{eff}$ : effective moisture diffusivity ( $m^2/s$ ); L:half thickness of the slab (m), i: positive integer, number of terms that is taken into account and t: drying time (s). Using first term of series in Equation 3, moisture ratio is simplified to Equation 4:

$$MR = \frac{M_t - M_e}{M_0 - M_e} = \frac{8}{\pi^2} \exp \left( \frac{-\pi^2 D_{eff}}{4L^2} t \right) \quad 4$$

Equation 4 then can be rearranged for further simplification as in Henderson and Habis model and given as follows:

$$MR = \frac{M_t - M_e}{M_0 - M_e} = a \exp(-kt) \quad 5$$

Fick's law to explain moisture diffusion process also can be given for a cube geometry of food in series type of equation as (Zielinska and Markowski, 2010):

$$MR = \frac{512}{\pi^6} \left[ \sum_{n=1}^{\infty} \frac{1}{(2n-1)^2} \exp(- (2n-1) kt)^2 \right] \quad 6$$

where n: integer and k: model constant. Moisture

ratio can further be simplified using the first term of series in Equation 6 as follow:

$$MR = \frac{512}{\pi^6} \left[ \exp(-kt)^2 \right] \quad 7$$

Analytical solution of Fick's second law of diffusion in spherical geometry can be given as (Aghbashlo et.al., 2008):

$$MR = \frac{M_t - M_e}{M_0 - M_e} = \frac{6}{\pi^2} \exp \sum_{n=1}^{\infty} \frac{1}{n^2} \exp \left( \frac{-n^2 \pi^2 D_{eff}}{r_0^2} t \right) \quad 8$$

where  $r_0$  is the radius of the food material (m). When first term of the series Equation 8 is used:

$$MR = \frac{M_t - M_e}{M_0 - M_e} = \frac{6}{\pi^2} \exp \exp \left( \frac{-\pi^2 D_{eff}}{r_0^2} t \right) \quad 9$$

To simplify Equation 9 to a straight line equation:

$$\ln \ln (MR) = \ln \ln \left( \frac{6}{\pi^2} \right) - \left( \frac{\pi^2 D_{eff}}{r_0^2} \right) t = A + Bt \quad 10$$

where  $A = 6/\pi^2$ ;  $B = \pi^2 D_{eff}/r_0^2$ , slope of the straight line by which  $D_{eff}$  can be calculated.

Experimental data of MR and t is used to fit to model equations and goodness of the fit is determined by statistical analysis such as determination coefficient (R), correlation coefficient ( $R^2$ ), standart error of estimate, sum of squares, mean of squares, F test and P value (Guine et.al., 2011; Mota et.al, 2010). Statistical analysis on selection of best fit hot air drying equation is generally based on correlation coefficient ( $R^2$ ), reduced Chi-square ( $\chi^2$ ), root mean square error (RMSE) and mean bias error (MBE) as respectively follow (Evin, 2012):

$$\chi^2 = \frac{\sum_{i=1}^N (MR_{exp,i} - MR_{pre,i})^2}{N-n} \quad 11$$

$$RMSE = \left[ \frac{1}{N} \sum_{i=1}^N (MR_{pre,i} - MR_{exp,i})^2 \right]^{1/2} \quad 12$$

$$MBE = \frac{1}{N} \sum_{i=1}^N (MR_{pre,i} - MR_{exp,i})^2 \quad 13$$

where  $MR_{exp,i}$ : ith moisture content recorded experimentally;  $MR_{pre,i}$ :ith predicted moisture content by mathematical model in question; N: total number of recorded moisture content values,n: model

constant. Best fit model is decided by having the highest  $R^2$  and the lowest  $\chi^2$ , RMSE and MBE values.

Drying rate is also one of the important parameters in comparisons of drying operations. During hot air drying, moisture removal occurs at different rates namely constant rate and falling rate. For highly moist material as in most foods, both periods are present. Constant rate period is defined as the period of drying where the rate of moisture transfer from the center of the food to the evaporation surface is equal to the rate of moisture evaporation from the surface. Constant rate period continues as long as water supplied to the surface compensates the surface evaporation. Falling rate corresponds to the period where drying rate starts to decrease due to decreasing transfer of water to the evaporation surface. Drying rates of food can be calculated as:

$$DR = \frac{M_{t+\Delta t} - M_t}{\Delta t} \quad 14$$

where DR: drying rate (kg water/kg DM min);  $M_{t+\Delta t}$ : the moisture content at time  $t+\Delta t$  and  $M_t$ : moisture content at time t (min).

#### 4. Calculation of Effective Moisture Diffusivity and Activation Energy

Water diffusion is a complex phenomena during drying involving molecular diffusion, hydrodynamic, capillary and Knudsen flow and surface tension (Erbay & İcier, 2009). Lumped parameter model assumes only effective moisture diffusivity which technically corresponds to the conductive term of moisture transfer mechanism. Effective diffusivity is generally calculated by the use of experimental drying curve plots. From the experimental data, the plot of  $\ln(MR)$  versus drying time (t) gives a straight line and slope of this line is:

$$\ln \ln (MR) = \ln \ln (a) - \frac{\pi^2 D_{eff}}{4L^2} t \quad 15$$

where  $D_{eff}$ : effective moisture diffusivity ( $m^2/s$ ); k: drying rate constant, slope of the  $\ln(MR)$  versus t.

Effective diffusivity is affected both by internal conditions of food namely temperature, moisture content and microstructure and external conditions namely drying air velocity, air temperature and

relative humidity. Temperature dependency of  $D_{eff}$  is often constructed with Arrhenius type relation as follows (Fudholi et.al, 2016):

$$D_{eff} = D_0 \exp \left( -\frac{E_a}{RT} \right) \quad 16$$

Equation 16 can be linearized by taking natural logarithms of both sides:

$$\ln(D_{eff}) = \ln(D_0) - \left(\frac{E_a}{R}\right)\frac{1}{T} \quad 17$$

where  $D_0$ :effective diffusivity at infinitely high temperature ( $m^2/s$ ),  $E_a$ : activation energy ( $kJ/mol$ ),  $R$ : universal gas constant ( $8.314 \times 10^{-3}kJ/molK$ );  $T$ : absolute temperature ( $K$ ).  $E_a$  and  $D_0$  in Equation 17 can be calculated by the slope of the plot of  $\ln(D_{eff})$  versus  $1/T$ .

### Conclusion

Rapid increasing world population is in need of nutritious, safe, standardized and yet tasty foods with acceptable shelf-life. Food processing industry is constantly seeking new and improved methods for food processing and preservation. Drying has been and still is one of the most widely used method of food preservation and mainly relies on simultaneous transfer of heat and mass. Fundamentals of heat and mass transfer mechanisms help to improve present drying techniques as well as gives insight for innovations. Mathematical models are used to describe both water removal and heat penetration during hot air drying. Mathematical models for hot air drying of foods are used to develop new drying equipment, drying method and process parameters. Models are tools for process control. Considering rapid developments in artificial intelligence systems, such mathematical models have great potential for further integration with hands-on industrial drying operations for better process and product control. Present work is considered as useful summary for the students set their mind on getting engineering education.

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